CALIFORNIA WATER CODE Division 3. Dams and Reservoirs

### CONTENTS

# CALIFORNIA WATER CODE DIVISION 3. DAMS AND RESERVOIRS

PART 1. SUPERVISION OF DAMS	<u>Sections</u>	<u>Page</u>
AND RESERVOIRS	6000-6470	1
Chapter 1. Definitions	6075-6081 6100-6102 6110-6113 6120-6121	4 6 6 6 7 8
to Restrain Violations Chapter 5. Applications Article 1. New Dams and Reservoirs or Enlargements of Dams		
and Reservoirs		
or Removals		
Article 4. Approval of Applications Chapter 6. Fees	6260-6267 6300-6309	13
Article 1. New or Enlarged Dams and Reservoirs Article 1.5 Certificates of Approval		
Article 2. Repaired or Altered Dams and Reservoirs		
Article 3. Removal of Dams and Reservoirs Article 4. Dams Completed Prior		
to August 14, 1929 Article 5. Complaints as to Unsafe		
Conditions		

### CONTENTS (Continued)

				<u>Sections</u>	Page
	•		Offenses and Punishment Dams Under Construction	6425-6428	22
	•		Prior to August 14, 1929 Dams and Reservoirs Under	. 6450-6452	22
	Arti	cle 1.	State Supervision Through 1965 Revisions of Part 1 Dams and Reservoirs Completed Before	. 6455-6470	23
	Arti	cle 2.	1965 Revisions	. 6455-6461	23
	Arti	cle 3.	Before 1965 Revisions Fees for Dams or Reservoirs Under Construction Before	. 6465-6467	24
			1965 Revisions	. 6470	25
PART	2 F	ISHWAY	S OVER DAMS	. 6500-6501	25

#### DIVISION 3. DAMS AND RESERVOIRS

#### PART 1. SUPERVISION OF DAMS AND RESERVOIRS

### Chapter 1. Definitions

- 6000. Unless the context otherwise requires, the definitions in this chapter govern the construction of this part.
- 6002. "Dam" means any artificial barrier, together with appurtenant works, which does or may impound or divert water, and which either (a) is or will be 25 feet or more in height from the natural bed of the stream or watercourse at the downstream toe of the barrier, as determined by the department, or from the lowest elevation of the outside limit of the barrier, as determined by the department, if it is not across a stream channel or watercourse, to the maximum possible water storage elevation or (b) has or will have an impounding capacity of 50 acre-feet or more.
- 6003. Any such barrier which is or will be not in excess of 6 feet in height, regardless of storage capacity, or which has or will have a storage capacity not in excess of 15 acre-feet, regardless of height, shall not be considered a dam.
- 6004. a) No obstruction in a canal used to raise or lower water therein or divert water therefrom, no levee, including but not limited to a levee on the bed of a natural lake the primary purpose of which levee is to control floodwaters, no railroad fill or structure, and no road or highway fill or structure, no circular tank constructed of steel or concrete, or both, no tank elevated above the ground, and no barrier which is not across a stream channel, watercourse, or natural drainage area and which has the principal purpose of impounding water for agricultural use shall be considered a dam.
- b) No obstruction in the channel of astream or watercourse which is 15 feet or less in height from the lowest elevation of the obstruction and which has the single purpose of spreading water within the bed of the stream or watercourse upstream from the obstruction for percolation underground shall be considered a dam.
- c) The levee of an island adjacent to tidal waters in the Sacramento-San Joaquin Delta, as defined in Section 12220, even when used to impound water, shall not be considered a dam and the impoundment shall not be considered a reservoir if the maximum possible water storage elevation of the impounded water does not

exceed four feet above mean sea level, as established by the United Stated Geological Survey 1929 datum.

- d) No noncircular tank, constructed of steel or concrete, or both, that is constructed in a county of the third class by a public agency, under the supervision of a civil engineer registered in the state, that does not exceed 75 acre-feet in capacity or 30 feet in height, and no barrier that is not across a stream channel, watercourse, or natural drainage area and that has the principal use as a sewage sludge drying facility shall be considered a dam.
- 6004.5. "Reservoir" means any reservoir which contains or will contain the water impounded by a dam.
- 6005. "Owner" includes any of the following who own, control, operate, maintain, manage, or propose to construct a dam or reservoir:
  - (a) The State and its departments, institutions, agencies, and political subdivisions.
  - (b) Every municipal or quasi-municipal corporation.
  - (c) Every public utility.
  - (d) Every district.
  - (e) Every person.
  - (f) The duly authorized agents, lessees, or trustees of any of the foregoing.
  - (g) Receivers or trustees appointed by any court for any of the foregoing.

"Owner" does not include the United States.

- 6006. "Alterations", "repairs", or either of them, mean only such alterations or repairs as may affect the safety of the dam or reservoir.
- 6007. "Enlargement" means any change in or addition to an existing dam or reservoir, which raises or may raise the water storage elevation of the water impounded by the dam or reservoir.
- 6008. Water storage elevation means that elevation of water surface which could be obtained by the existing dam or reservoir, as previously operated, were there no outflow and were the reservoir full of water.

### Chapter 2. General Provisions

- 6025. It is the intent of the Legislature by this part to provide for the regulation and supervision of dams and reservoirs exclusively by the State.
- 6025.5 a) Notwithstanding any other provision, subject to subdivision (b), the requirements for state regulation and supervision of safety of dams, as contained in this division, shall not be applicable to waste water treatment and storage ponds constructed as a part of a waste water control facility.
- b) This section applies to those ponds specified in subdivision (a) only after the governing body of the city, county, district, or other agency which operates the waste water control facility adopts a resolution which (1) finds that the ponds have been constructed and operated to standards adequate to protect life and property, and (2) provides that the city, county, district, or other agency shall supervise and regulate the design, construction, operation, enlargement, replacement, and removal of the ponds after the effective date of the resolution.
- c) This section applies only to ponds specified in subdivision (a) which (1) have a maximum height of 15 feet or less and a maximum storage capacity of 1,500 acre-feet or less, (2) have been designed by, and constructed under the supervision of, a registered civil engineer, and (3) are not across a stream channel or watercourse.
- 6025.6 a) An owner of a structure defined as a dam pursuant to Section 6002, but excluded from that definition pursuant to subdivision (d) of Section 6004 or otherwise exempted from the requirements of this chapter pursuant to Section 6025.5, shall comply with the requirements of Section 8589.5 of the Government Code and shall employ a civil engineer who is registered in the state to supervise the structure for the protection of life and property for the full operating life of the structure.
- b) (1) The civil engineer supervising a dam pursuant to subdivision (a) shall take into consideration, in determining whether or not a dam constitutes, or would constitute, a danger to life or property, the possibility that the dam might be endangered by seepage, earth movement, or other conditions that exist, or might occur, in any area in the vicinity of the dam.
- (2) If the civil engineer determines that a dam under his or her supervision constitutes, or would constitute, a danger to life

or property, the civil engineer shall notify the owner of the dam and recommend appropriate action.

- c) The owner shall submit to the department the name, business address, and telephone number of each supervising civil engineer.
- d) The department shall submit the information provided pursuant to subdivision (c) to the Office of Emergency Services on or before January 1, 1995, and on or before each January 1 thereafter. Any change in the information shall be submitted to the department on or before July 1 of each year.
- 6026. No city or county has authority, by ordinance enacted by the legislative body thereof or adopted by the people under the initiative power, or otherwise, to regulate, supervise, or provide for the regulation or supervision of any dams or reservoirs in this state, or the construction, maintenance, or operation thereof, nor to limit the size of any dam or reservoir or the amount of water which may be stored therein. This part shall not prevent a city or county from adopting ordinances regulating, supervising, or providing for the regulation or supervision of dams and reservoirs that (a) are not within the state's jurisdiction, or (b) are not subject to regulation by another public agency or body.
- 6027. Whenever supervision of safety of design or construction of a proposed or existing dam or reservoir is exercised by the United States or any of its agencies pursuant to a jurisdiction superior to that of the state, and the requirements made under authority of such jurisdiction are so contradictory with requirements made by the department under this part that a compliance cannot be made which will meet both federal and state requirements, then the state requirements shall be modified by the department sufficiently to make possible compliance with both federal and state requirements.
- 6028. No action shall be brought against the state or the department or its agents or employees for the recovery of damages caused by the partial or total failure of any dam or reservoir or through the operation of any dam or reservoir upon the ground that such defendant is liable by virtue of any of the following:
  - (a) The approval of the dam or reservoir.
  - (b) The issuance or enforcement of orders relative to maintenance or operation of the dam or reservoir.
  - (c) Control and regulation of the dam or reservoir.
  - (d) Measures taken to protect against failure during an emergency.

- 6029. Nothing in this part shall be construed to relieve an owner or operator of a dam or reservoir of the legal duties, obligations, or liabilities incident to the ownership or operation of the dam or reservoir.
- 6030. The findings and orders of the department and the certificate of approval of any dam or reservoir issued by the department are final and conclusive and binding upon all state agencies, regulatory or otherwise, as to the safety of design, construction, maintenance, and operation of any dam or reservoir.
- 6031. Nothing in this part shall be construed to deprive any owner of such recourse to the courts as he may be entitled to under the laws of this State.

#### Chapter 3. Administrative Provisions

- 6052. The department shall employ such clerical, engineering, and other assistants as are necessary for carrying on the work of dam and reservoir supervision in accordance with this part.
- 6053. The department may employ consultants.
- 6054. When the safety and technical considerations pertaining to a certificate of approval, dam, reservoir, or plans and specifications require it, or when requested in writing to do so by the owner, the department shall appoint a consulting board of two or more consultants to report to the department on the safety features involved.
- 6055. The cost and expense of a consulting board if appointed on the request of an owner shall be paid by the owner.
- 6056. The department shall retain a board of three consultants who shall make an independent report to the director upon the issuance, modification, or renewal of any certificate of approval for any dam owned by the department.

#### Chapter 4. Powers of the Department

#### Article 1. Powers in General

6075. The department, under the police power of the state, shall supervise the construction, enlargement, alteration, repair, maintenance, operation, and removal of dams and reservoirs for the protection of life and property as provided in this part.

- 6076. All dams and reservoirs in the state are under the jurisdiction of the department.
- 6077. It is unlawful to construct, enlarge, repair, alter, remove, maintain, or operate any dam or reservoir except upon approval of the department as provided in this part.
- 6078. The department shall adopt and revise from time to time such rules and regulations and issue such general orders as may be necessary for carrying out, but not inconsistent with, the provisions of this part.
- 6079. In carrying out the provisions of this part the department may cooperate with the United States or any of its agencies.
- 6080. In making any investigations or inspections required or authorized by this part the department or its representatives may enter upon private property as may be necessary.
- 6081. In determining whether or not a dam or reservoir or proposed dam or reservoir constitutes or would constitute a danger to life or property, the department shall take into consideration the possibility that the dam or reservoir might be endangered by seepage, earth movement, or other conditions which exist or which might occur in any area in the vicinity of the dam or reservoir. Whenever the department deems that any such condition endangers a dam or reservoir, it shall order the owner to take such action as the department determines to be necessary to remove the resultant danger to life and property.

#### Article 2. Maintenance and Operation

- 6100. Supervision over the maintenance and operation of dams and reservoirs insofar as necessary to safeguard life and property from injury by reason of the failure thereof is vested in the department.
- 6101. The department may require owners to keep records of, and to report on, maintenance, operation, staffing, and engineering and geologic investigations and shall issue such rules and regulations and orders as necessary to secure maintenance and operation and to require staffing and engineering and geologic investigations which will safeguard life and property. In addition, the owner of a dam or reservoir or his agent shall fully and promptly advise the department of any sudden or unprecedented flood or unusual or alarming circumstance or occurrence affecting the dam or reservoir.

6102. The department, from time to time, shall make inspections of dams and reservoirs at state expense for the purpose of determining their safety but shall require owners to perform at their expense such work as necessary to disclose information sufficient to enable the department to determine conditions of dams and reservoirs in regard to their safety and to perform at their expense other work necessary to secure maintenance and operation which will safeguard life and property.

#### Article 3. Emergency Work

- 6110. The department shall immediately employ any remedial means necessary to protect life and property if either:
  - (a) The condition of any dam or reservoir is so dangerous to the safety of life or property as not to permit time for the issuance and enforcement of an order relative to maintenance or operation.
  - (b) Passing or imminent floods threaten the safety of any dam or reservoir.
- 6111. In applying the remedial means provided for in this article, the department may in emergency do any of the following:
  - (a) Lower the water level by releasing water from the reservoir.
  - (b) Completely empty the reservoir.
  - (c) Take such other steps as may be essential to safeguard life and property.
- 6112. The department shall continue in full charge and control of such dam or reservoir, or both, and its appurtenances until they are rendered safe or the emergency occasioning the action has ceased.
- 6113. The cost and expenses of the remedial means provided in this article, including cost of any work done to render a dam or reservoir of its appurtenances safe, shall be recoverable by the state from the owner by action brought by the department in the superior court of the county wherein the dam or reservoir or any part thereof is situated.

#### Article 4. Investigations and Studies

6120. For the purpose of enabling it to make decisions as compatible with economy and public safety as possible the department

shall make or cause to be made such investigations and shall gather or cause to be gathered such data as may be needed for a proper review and study of the various features of the design and construction of dams, reservoirs, and appurtenances.

6121. The department shall also make or cause to be made such watershed investigations and studies as may facilitate its decisions.

## Article 5. Action and Procedure To Restrain Violations

- 6150. The department may commence an action or proceeding under this article, either by mandamus or injunction, for the purpose of stopping or preventing violations or threatened violations.
- 6151. An action or proceeding under this article may be commenced whenever any owner or any person acting as a director, officer, agent, or employee of any owner, or any contractor or agent or employee of such contractor is:
  - (a) Failing or omitting or about to fail or omit to do anything required of him by this part or by any approval, order, rule, regulation, or requirement of the department under the authority of this part; or
  - (b) Doing or permitting anything or about to do or permit anything to be done in violation of or contrary to this part or any approval, order, rule, regulation, or requirement of the department under this part.
- 6152. Any action or proceeding under this article shall be commenced in the superior court in and for the county in which (a) the cause or some part thereof arose, (b) the owner or person complained of has its principal place of business or (c) the person complained of resides.
- 6153. Any action or proceeding under this article shall be brought by petition in the superior court, alleging the violation or threatened violation complained of, and praying for appropriate relief by way of mandamus or injunction.
- 6154. The court shall specify a time, not exceeding 20 days after the service of the copy of the petition, within which the owner or person complained of shall answer the petition, and in the meantime the owner or person may be restrained.

- 6155. In case of default in answer or after answer the court shall immediately inquire into the facts and circumstances of the case.
- 6156. The court may join such parties as it deems necessary or proper in order to make its judgment, order, or writ effective.
- 6157. The final judgment in such action or proceeding shall either dismiss the action or proceeding or direct that the writ of mandamus or injunction issue or be made permanent as prayed for in the petition, or in such modified or other form as will afford appropriate relief.

### Chapter 5. Applications

## Article 1. New Dams and Reservoirs or Enlargements of Dams and Reservoirs

- 6200. Construction of any new dam or reservoir or the enlargement of any dam or reservoir shall not be commenced until the owner has applied for and obtained from the department written approval of plans and specifications.
- 6201. A separate application for each dam or reservoir shall be filed with the department upon forms to be provided by it, except that only one application need be filed for a dam and the reservoir which will contain the water impounded by the dam.
- 6202. The application shall give the following information:
  - (a) The name and address of the owner.
  - (b) The location, type, size, and height of the proposed dam or reservoir and appurtenant works.
  - (c) The storage capacity of the reservoir.
  - (d) Such other pertinent information as the department requires.
  - (e) As accurately as may be readily obtained, the area of the drainage basin, rainfall and streamflow records and floodflow records and estimates.

- 6203. The department may also require the following:
  - (a) Data concerning subsoil and foundation conditions and the materials entering into construction of the dam or reservoir.
  - (b) Investigations of, and reports on, subsurface conditions, involving such matters as exploratory pits, trenches and adits, drilling, coring, geophysical surveys, tests to determine leakage rates, and physical tests to measure in place the properties and behavior of foundation materials at the dam or reservoir site.
  - (c) Investigations of, and reports on, the geology of the dam or reservoir site and its vicinity, possible geologic hazards, availability and quality of construction materials, and other pertinent features.
  - (d) Such other appropriate information as may be necessary in a given instance.
- 6204. In instances wherein the physical conditions involved and the size of the dam or reservoir are such as to render the above requirements as to drainage areas, rainfall, streamflow, floodflow, and drilling or prospecting of site unnecessary, the department may waive the requirements.
- 6205. The application shall set forth the purpose for which the impounded or diverted water is to be used.
- 6206. The application shall be accompanied by maps and plans and specifications of such character and size and setting forth such pertinent details and dimensions as the department requires.

The maps and plans and specifications shall be a part of the application.

## Article 2. Repairs, Alterations or Removals

6225. Before commencing the repair, alteration, or removal of a dam or reservoir, including the alteration or removal of a dam or reservoir so that it no longer constitutes a dam or reservoir as defined in this part, the owner shall secure the written approval of the department, except as provided in this article.

- 6226. The application shall give such pertinent information or data concerning the dam or reservoir, or both, as may be required by the department and such information as to other matters appropriate to a thorough consideration of the safety of such a change as may be required by the department.
- 6227. The application shall state the proposed time of commencement and of completion of construction.
- 6228. The application shall give the name and address of applicant, shall adequately detail, with appropriate references to the existing dam or reservoir, the changes which it is proposed to effect, and shall be accompanied by maps and plans and specifications which shall be a part of the application and which shall be of such character and size and set forth such pertinent details and dimensions as the department may require. The department may waive any of the requirements of this section if found by it unnecessary.
- In case of an emergency where repairs are necessary to safeguard life and property, repairs may be started immediately, but the department shall be notified at once of proposed repairs and of work under way.
- 6230. The proposed repairs and work shall be made to conform to such orders as the department issues.

## Article 3. Dams Constructed Prior to August 14, 1929

- 6250. Unless application for approval of the dam has heretofore been made, every owner of a dam completed prior to August 14, 1929 shall, immediately after the effective date of this part, file an application for the approval of such dam.
- 6251. A separate application shall be made for each dam and shall be filed with the department upon forms to be supplied by it and shall supply such appropriate information concerning the dam as the department requires.
- 6252. The department shall give notice to file to owners who have failed to do so as required by this article, and a failure to file within 30 days after such notice shall be punishable as provided in this part.
- 6253. The notice provided for in this article may be given by registered mail and a return receipt signed by the owner shall constitute prima facie evidence of service.

### Article 4. Approval of Applications

- 6260. Upon receipt of any application other than an application provided for in Article 3 of this chapter the department shall give its consideration thereto and shall approve or disapprove the same within the time provided in this article.
- 6261. A defective application made in a bona fide attempt to conform to the law and rules and regulations of the department shall not be rejected but notice of defect shall be sent to the applicant by ordinary and registered mail.
- 6262. If within 30 days of the date of mailing the notice the applicant does not file an amended and perfected application, the application shall be rejected and canceled unless for good cause shown the department allows the applicant further time.
- 6263. No application shall be approved in less than 10 days from its receipt but all applications shall be approved or disapproved as soon as practicable after the receipt of all data and information found necessary by the department.
- 6264. Approvals may be granted under terms, conditions, and limitations necessary to safeguard life and property.
- 6265. Actual construction shall be commenced within one year after date of approval, otherwise the approval becomes void.
- 6266. The department may, upon written application and for good cause shown, extend the time for commencing construction.
- 6267. Notice shall be given to the department at least 10 days before construction is to be commenced and such other notices shall be given to the department as it may require.

#### Chapter 6. Fees

- 6300. (a) The application for a new dam or reservoir or enlargement shall set forth the estimated cost, as defined in this article, of the dam or reservoir or enlargement and shall be accompanied by a filing fee based upon the estimated cost and according to the following schedule:
  - (1) For the first three hundred thousand dollars (\$300,000), a fee of 3 percent of the estimated cost.

- (2) For the next seven hundred thousand dollars (\$700,000), a fee of 2 percent.
- (3) For the next one million dollars (\$1,000,000) a fee of 1 ½ percent.
- (4) For the next one million dollars (\$1,000,000), a fee of 1 ¼ percent.
- (5) For the next two million dollars (\$2,000,000), a fee of 1 percent.
- (6) For the next two million dollars (\$2,000,000), a fee of three-fourths of 1 percent.
- (7) For all costs in excess of seven million dollars (\$7,000,000), a fee of one-half of 1 percent.
- (b) In no case, however, shall the minimum fee be less than three hundred dollars (\$300).
- 6301. One filing fee only shall be collected for an enlargement to be effected by flashboards, sandbags, earthen levees, gates, or other works, devices, or obstructions which are, from time to time, to be removed and replaced or opened and shut and thereby operated so as to vary the surface elevation of the impounded water.
- 6302. For the purposes of this part, the estimated cost of the dam or reservoir or enlargement involved shall include the following:
  - (a) The cost of all labor and materials entering into the construction of the dam and appurtenant works or reservoir.
  - (b) The cost of preliminary investigations and surveys.
  - (c) The cost of the construction plant properly chargeable to the cost of the dam or reservoir.
  - (d) Any and all other items entering directly into the cost of the dam or reservoir.
- 6303. The costs of right-of-way, detached powerhouses, electrical generating machinery, and roads and railroads affording access to the dam or reservoir shall not be included among the items used in the determination of cost.

- 6304. An application shall not be considered by the department until the filing fee is received.
- 6305. In the event the actual cost exceeds the estimated cost by more than 15 percent, a further fee shall be required by the department before final approval and shall be 115 percent of the amount by which the original fee is less than it would have been had the cost it was based upon been the same as the actual cost. No further fee shall be required, however if such fee is to be computed at less than twenty dollars (\$20).
- 6306. Applications for dams found by the department to have been less than 90 percent constructed on August 14, 1929, shall be accompanied by fees as much less than provided for dams commenced after that date as the percentage of construction found by the department to have been completed on that date.
- 6307. (a) (1) The department shall adopt, by regulation, a schedule of fees to cover the department's costs in carrying out the supervision of dam safety.
- (2) The revenue generated by the fees imposed under this section shall be adjusted periodically for cost-of-living increases. If the director determines that the revenue collected during the preceding fiscal year was greater or less than the cost to operate the program, the director shall adjust the fees to compensate for the overcollection or undercollection of revenue. The department shall provide a schedule of fees to the Legislature and to every dam owner that has a permit or has applied for a permit, when any adjustment is made to the fees under this section.
- (b) (1) An annual fee shall be paid on or before January 31, 2004, July 1, 2004, and on or before July 1 of each succeeding year, based upon a fixed rate and height of the dam, including all enlargements thereto, substantially completed by or in operation on June 30, 2003, and on June 30 of each succeeding year. The fees collected on December 31, 2003, will be credited toward the fees due January 31, 2004. The annual fee shall be four hundred dollars (\$400) per dam, plus one hundred ten dollars (\$110) per foot of height. This fee shall be periodically adjusted, as described in subdivision (a).
- (2) A penalty plus interest, as set forth in Section 6428 of the Water Code, shall be imposed for fees received after July 1 in any year, except that for the year 2003, the penalty plus interest shall be imposed for any fees received after January 31, 2004.

- (c) For the purposes of this section, "height of the dam" means the vertical distance, to the nearest foot, from the natural bed of the stream or watercourse at the downstream toe of the barrier, as determined by the department, or from the lowest elevation of the outside limit of the barrier, as determined by the department, if it is not across a stream channel or watercourse, to the maximum possible water storage elevation.
- (d) Notwithstanding subdivision (b), the department shall limit the total annual fee per dam to not more than seventy-five (\$75) if both of the following apply:
- (1) The dam has a storage capacity of not more than 100 acre-feet.
- (2) The governing body of a private school or the governing board of a public school certifies that the dam is used as a subject of study by its students.
- (e)(1) Notwithstanding subdivision (b), the department shall limit the total annual fee for dams or reservoirs located on farms or ranch properties to one hundred fifty dollars (\$150) per dam, and sixteen dollars (\$16) per foot of height.
- (2) For purposes of this subdivision, "farm" has the same meaning as defined in Section 52262 of the Food and Agricultural Code.
- (f)(1) Privately owned dams with less than 100 acrefeet of storage capacity shall be assessed an annual fee in accordance with paragraph (1) of subdivision (e).
- (2) As used in this subdivision, "privately owned" does not include dams owned by municipalities, water districts or companies, irrigation districts, private, investor owned or publicly owned utilities, or public agencies.
- 6308. All fees, penalties, interest, fines, or charges collected by the department under this division shall be deposited in the Dam Safety Fund, which is hereby established in the State Treasury. The money in that fund shall be available to the department, upon appropriation by the Legislature, for the administration of the dam safety program.
- 6309. The fees provided for in this chapter shall be required of any "owner", as defined in Section 6005.

### Chapter 7. Inspection and Approval

## Article 1. New or Enlarged Dams and Reservoir

- 6350. Immediately upon completion of a new dam or reservoir or enlargement of a dam or reservoir, the owner shall give a notice of completion to the department and as soon thereafter as possible shall file with the department supplementary drawings or descriptive matter showing or describing the dam or reservoir as actually constructed, including the following:
  - (a) A record of all grout holes and grouting.
  - (b) A record of permanent location points and bench marks.
  - (c) A record of tests of concrete or other material used in the construction of the dam or reservoir.
  - (d) Any other items which may be of permanent value and have a bearing on the safety and permanency of the dam or reservoir.
- 6351. In connection with the enlargement of a dam or reservoir, the supplementary drawings and descriptive matter need apply only to the new work.
- 6352. As soon as possible after giving notice of completion, the owner shall file an affidavit with the department stating the actual cost of the dam or reservoir in such detail as the department requires to determine whether a further fee is due. In the event the owner of a new or enlarged dam or reservoir, because of loss of records, recent change of ownership, or other causes beyond his control, is unable to report the actual cost of construction or enlargement, he shall file an affidavit to this effect, stating the reasons therefore, within 30 days after receiving a written request therefore from the department. The department shall then make its own appraisal of the cost of construction or enlargement and determine what further fee, if any, is required. Upon making a determination that a further fee is required, the department shall notify the owner by certified mail of the amount of such fee within 15 days and shall notify the owner that he may appear within 60 days thereafter before an authorized representative of the department to protest the amount of the fee, in whole or in part, determined by the department to be required, and the sufficiency of the appraisal upon which such determination was based.

- 6354. As soon as practicable the completed dam or reservoir shall be inspected by the department.
- 6355. A certificate of approval shall be issued upon a finding that the dam or reservoir is safe to impound water within the limitations prescribed in the certificate. Upon written request by an owner for a certificate of approval, the department shall issue the certificate if it finds that the dam or reservoir is safe to impound water within the limitations prescribed in the certificate. Pending issuance of a certificate of approval by the department, the owner of the dam or reservoir shall not, through action or inaction, cause the dam or reservoir to impound water.

### Article 1.5. Certificates of Approval

- 6357. Each certificate of approval issued by the department under this part may contain such terms and conditions as the department may prescribe.
- 6357.1 The department may revoke any certificate of approval whenever it determines that the dam or reservoir constitutes a danger to life and property. Whenever it deems such action necessary to safeguard life and property, the department may also amend the terms and conditions of any such certificate by issuing a new certificate containing the revised terms and conditions.
- 6357.2 The owner of a dam or reservoir for which a certificate of approval has been issued shall not, through action or inaction, cause the dam or reservoir to impound water after the certificate terminates unless a new certificate is issued for the dam or reservoir. A new certificate shall be issued upon a finding by the department that the dam or reservoir is safe to impound water within the limits prescribed in the certificate.
- 6357.3 With respect to each certificate of approval or written consent for use of a dam which has been issued by the department or a predecessor of the department and which is in effect prior to the effective date of this article, the department shall, within one year from such effective date, issue a new certificate of approval, which shall supersede the previous certificate or written consent for use, or shall revoke the existing certificate or written consent for use if it finds that the dam or reservoir is not safe to impound water.
- 6357.4 Before any certificate of approval is revoked by the department, the department shall hold a hearing. Written notice of the time and place of the hearing shall be mailed, at least 20 days prior to the date set for the hearing, to the holder of the certificate. Any interested persons may appear at the hearing and

present their views and objections to the proposed action. Any petition for a writ of mandate to inquire into the validity of action of the department revoking a certificate of approval shall be commenced within 30 days after service of notice of the revocation on the holder of the certificate.

## Article 2. Repaired or Altered Dams and Reservoirs

- 6360. Immediately upon completion of the repair or alteration of any dam or reservoir, the owner shall give notice of completion to the department and as soon thereafter as possible shall file with it supplementary drawings or descriptive matter showing or describing the dam or reservoir as actually repaired or altered together with such maps, data, records, and information pertaining to the dam or reservoir as repaired or altered as the department requires.
- 6362. As soon as practicable the dam or reservoir as repaired or altered shall be inspected by the department.
- 6363. A certificate of approval shall be issued upon a finding that the dam or reservoir is safe to impound water within the limitations prescribed in the certificate. Pending issuance of a new certificate of approval, the owner of the dam or reservoir shall not, through action or inaction, cause the dam or reservoir to impound water beyond the limitations prescribed in the existing certificate.
- 6364. The certificate of approval shall supersede any previous certificate of approval issued for the dam or reservoir so repaired or altered.

## Article 3. Removal of Dams and Reservoirs

- 6370. Upon completion of the removal of a dam or reservoir such evidence as to the manner in which the work was performed and as to the conditions obtaining after the removal as the department requires shall be filed with the department.
- 6371. This evidence shall show that a sufficient portion of the dam has been removed to permit the safe passage of floods down the watercourse across which the dam was located.
- 6372. Before final approval of the removal of a dam or reservoir is issued, the department shall inspect the work and determine that all danger to life and property has been eliminated.

## Article 4. Dams Completed Prior to August 14, 1929

- 6380. The department shall make inspections at State expense of all dams in the State completed prior to August 14, 1929.
- 6381. The department shall require owners to perform at their expense such work or tests as necessary to disclose information sufficient to enable the department to determine whether to issue certificates of approval or to issue orders directing further work at the owners' expense necessary to safeguard life and property.
- 6382. If, upon inspection or upon completion to the satisfaction of the department of all work that may be ordered, the department finds that the dam is safe to the full extent for which use is or will be made, a certificate of approval shall be issued.

## Article 5. Complaints as to Unsafe Conditions

- 6390. Upon receipt of a written complaint alleging that the person or property of the complainant is endangered by the construction, maintenance, or operation of any dam or reservoir the department shall cause an inspection to be made unless the data, records, and inspection reports on file with it are found adequate to enable a determination whether or not the complaint is meritorious.
- 6391. If the complainant insists upon an inspection and deposits with the department a sum estimated by it to be sufficient to cover costs of an inspection, the department shall cause an inspection to be made despite its finding as to the sufficiency of its records to determine the alleged danger.
- 6392. If it is found that an unsafe condition exists, the department shall take such action as is necessary to render or cause the condition to be rendered safe and any money deposited to secure an inspection shall be returned.
- 6393. If, after an inspection is made on account of a complaint, the complaint is found by the department to have been without merit, any money deposited therefore shall be payable into the State Treasury.

## Article 6. Inspection During Progress of Work

6400. During the construction, enlargement, repair, alteration, or removal of any dam or reservoir the department shall make continuous or periodical inspections at state expense for the purpose

of securing conformity with the approved plans and specifications but shall require the owner to perform at his expense such work or tests as necessary to disclose information sufficient to enable the department to determine that conformity with the approved plans and specifications is being secured.

- 6401. If, after any inspections, investigations, or examinations, or at any time as the work progresses, or at any time prior to issuance of a certificate of approval it is found by the department that amendments, modifications, or changes are necessary to insure safety, the department may order the owner to revise the plans and specifications.
- 6402. If conditions are revealed which will not permit the construction of a safe dam or reservoir the approval may be revoked.
- 6403. In the event that conditions imposed may be waived or made less burdensome without sacrificing a proper margin of safety, the department may authorize an owner to revise the plans and specifications accordingly.
- 6404. If at any time during construction, enlargement, repair, or alterations of any dam or reservoir the department finds that the work is not being done in accordance with the provisions of the approval and the approved plans and specifications or in accordance with the approval and revised plans and specifications, it shall give a written notice and order by registered mail or by personal service to the owner.
- 6405. The notice and order shall state the particulars in which the approval and approved plans and specifications or the approval and approved plans and specifications as revised are not being or have not been complied with shall order the immediate compliance with the approval and approved plans and specifications or with the approval and approved revised plans and specifications as the case may be.
- The department may order that no further work be done until such compliance has been effected and approved by the department.
- 6407. A failure to comply with the approval and approved plans and specifications as originally approved or as revised shall render the approval subject to revocation by the department, if compliance is not made in accordance therewith after notice and order from the department as provided in this article.

### Chapter 8. Offenses and Punishment

- 6425. Every person who violates any of the provisions of this part or of any approval, order, rule, regulation, or requirement of the department is guilty of a misdemeanor and punishable by a fine of not more than two thousand dollars (\$2,000) or by imprisonment in the county jail not exceeding six months, or both. In the event of a continuing violation each day that the violation continues constitutes a separate and distinct offense.
- 6426. Any person who willfully obstructs, hinders, or prevents the department or its agents or employees from performing the duties imposed by this part or who willfully resists the exercise of the control and supervision conferred by this part upon the department or its agents or employees is guilty of a misdemeanor and punishable as provided in this article.
- 6427. Any owner or any person acting as a director, officer, agent, or employee of an owner, or any contractor or agent or employee of a contractor who engages in the construction, enlargement, repair, alteration, maintenance, or removal of any dam or reservoir, who knowingly does work or permits work to be executed on the dam or reservoir without an approval or in violation of or contrary to any approval as provided for in this part, or any inspector, agent, or employee of the department who has knowledge of such work being done and who fails to immediately notify the department thereof is guilty of a misdemeanor and punishable as provided in this article.
- 6428. Any owner who fails to pay any annual fee or any part of any annual fee required to be paid pursuant to Section 6307 within the time required shall pay a penalty of 10 percent of the annual fee or part of the annual fee, plus interest at the rate of one-half of 1 percent per month, or fraction thereof, from the date on which the annual fee or the part of the annual fee became due and payable to the state until the date of payment.

### Chapter 9. Dams Under Construction Prior to August 14, 1929

- 6450. Any dam which the department finds was not 90 percent constructed on August 14, 1929 shall be subject to the same provisions as a dam commenced after that date.
- 6451. Construction work on such a dam may proceed, if an application for approval thereof is filed, until an order from the department is received approving the dam or specifying how its construction must be made or altered to render it safe. After

receipt of an order directing the construction of such a dam, work thereafter must be in accordance with the order.

Dams found to be 90 percent or more constructed on August 14, 1929, shall be subject to the same supervision as dams which were completed prior to that date.

Chapter 10. Dams and Reservoirs Under State Supervision Through1965 Revisions of Part 1

Article 1. Dams and Reservoirs Completed Before 1965 Revisions

- 6455. Every owner of a dam or reservoir that falls within the definition of a dam or reservoir in this part by virtue of the amendment of Section 6002 or the addition of Section 6004.5 at the 1965 Regular Session of the Legislature and that was completed prior to September 17, 1965, shall immediately file an application with the department for the approval of such dam or reservoir; provided that this Chapter 10 shall not apply to any reservoir which contains the water impounded by a dam for which a certificate of approval is in effect on September 17, 1965.
- 6456. A separate application shall be made for each dam or reservoir and shall be filed with the department upon forms to be supplied by it and shall include or be accompanied by such appropriate information concerning the dam or reservoir as the department requires.
- 6457. The department shall give notice to file an application to owners of such dams or reservoirs who have failed to do so as required by this article, and a failure to file within 30 days after such notice shall be punishable as provided in this part.
- 6458. The notice provided for in this article may be given by registered or certified mail and a return receipt signed by the owner shall constitute prima facie evidence of service.
- 6459. The department shall make inspections of such dams or reservoirs at state expense.
- 6460. The department shall require owners of such dams or reservoirs to perform at their expense such work or tests as necessary to disclose information sufficient to enable the department to determine whether to issue certificates of approval or to issue orders directing further work at the owner's expense necessary to safeguard life and property. For this purpose, the department may

require an owner to lower the water level of, or to empty, the reservoir.

If, upon inspection or upon completion to the satisfaction of the department of all work that may be ordered, the department finds that the dam or reservoir is safe to impound water, a certificate of approval shall be issued. The owner of the dam or reservoir shall not, through action or inaction, cause the dam or reservoir to impound water following receipt by the owner of a written notice from the department that a certificate will not be issued because the dam or reservoir will not safely impound water. Before such notice is given by the department, the department shall hold a hearing. Written notice of the time and place of the hearing shall be mailed, at least 20 days prior to the date set for the hearing, to the owner of the dam or reservoir. Any interested persons may appear at the hearing and present their views and objections to the proposed action. Any petition for a writ of mandate to inquire into the validity of the action of the department shall be commenced within 30 days after receipt by the owner of a written notice from the department that a certificate of approval will not be issued.

### Article 2. Dams and Reservoirs Under Construction Before 1965 Revisions

Any dam or reservoir that falls within the definition of a 6465. dam or reservoir in this part by virtue of the amendment of Section 6002 or the addition of Section 6004.5 at the 1965 Regular Session of the Legislature and which the department finds was under construction and not 90 percent constructed on September 17, 1965, shall, except as provided in Section 6466, be subject to the same provisions in this part as a dam or reservoir commenced after that date. Every owner of such a dam or reservoir shall file an application with the department for the department's written approval of the plans and specifications of the dam or reservoir. Where an application for approval of the plans and specifications for a dam is pending before the department on September 17, 1965, such application shall be deemed to also constitute an application for approval of the plans and specifications of the reservoir which will contain the water impounded by the dam.

6466. Construction work on such a dam or reservoir may proceed, provided an application for approval of the plans and specifications therefore is filed, until a certificate of approval is received by the owner from the department approving the dam or reservoir or an order is received by the owner from the department specifying how the construction must be performed to render the dam or reservoir safe. After receipt of an order specifying how construction of a dam or

reservoir must be performed, work thereafter must be in accordance with the order.

6467. Such dams or reservoirs as are 90 percent or more constructed on September 17, 1965, shall be subject to the same supervision as dams or reservoirs which were completed prior thereto.

## Article 3. Fees for Dams or Reservoirs Under Construction Before 1965 Revisions

6470. The owners of completed dams or reservoirs and dams or reservoirs that are 90 percent or more constructed that are made subject to the provisions of this part by the amendment of Section 6002 or the addition of Section 6004.5 at the 1965 Regular Session of the Legislature shall not be required to pay a fee in relation to applications filed with the department for approval of their dams or reservoirs. Applications for the approval of dams or reservoirs that are made subject to this part by said amendment or addition that are found by the department to have been less than 90 percent constructed on September 17, 1965, shall be accompanied by fees as much less than provided for dams or reservoirs commenced after that date as the percentage of construction found by the department to have been completed on that date.

#### PART 2. FISHWAYS OVER DAMS

6500. Whenever an application for approval of plans and specifications for a new dam, or for the enlargement of any dam, in any stream in this State, is filed pursuant to Part 1 of this division, a copy of the application shall be filed with the Fish and Game Commission as required by the Fish and Game Code.

6501. The provisions for the installation of fishways over or around dams and for the protection and preservation of fish in streams obstructed by dams are contained in Chapter 3 (commencing with Section 5900), Part 1, Division 6 of the Fish and Game Code.